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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: John B. Poling

Application No./Patent No.: 7043034

Filed/Issue Date: 05/09/2006

Titled:

**LOUDSPEAKER WITH SINGLE OR DUAL CHANNEL INPUT SELECTOR AND LOCKOUT**

Polk Audio, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
  2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
  3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: John B. Poling To: Britannia Investment Corporation

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: Britannia Investment Corporation To: Polk Audio Incorporation.

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Reel 023094, Frame 0754, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

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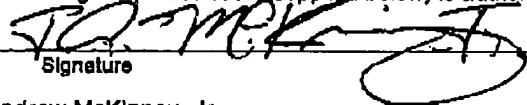
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

9/01/2009

Date

J. Andrew McKinney, Jr.

Attorney

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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	Voice Phone:	571-272-3350

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\* 5006376254 \*

AUGUST 18, 2009

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J. ANDREW MCKINNEY, JR.  
P.O. BOX 1290  
MILLERSVILLE, MD 21108

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RECORDATION DATE: 08/14/2009

REEL/FRAME: 023094/0754  
NUMBER OF PAGES: 6

BRIEF: MERGER (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 1865 POLK AUDIO

**ASSIGNOR:**

BRITANNIA INVESTMENT CORPORATION

DOC DATE: 11/21/2008

**ASSIGNEE:**

POLK AUDIO, INC  
5601 METRO DRIVE  
BALTIMORE, MARYLAND 21202

SERIAL NUMBER: 29114287  
PATENT NUMBER: D440559  
TITLE: PORTION OF A SPEAKER

FILING DATE: 11/22/1999  
ISSUE DATE: 04/17/2001

SERIAL NUMBER: 29114318  
PATENT NUMBER: D448360  
TITLE: PORTION OF A SPEAKER

FILING DATE: 11/22/1999  
ISSUE DATE: 09/25/2001

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SERIAL NUMBER: 29145272  
PATENT NUMBER: D463407  
TITLE: SPEAKER

FILING DATE: 07/20/2001  
ISSUE DATE: 09/24/2002

SERIAL NUMBER: 29237161  
PATENT NUMBER: D538767  
TITLE: AUDIO SYSTEM

FILING DATE: 08/29/2005  
ISSUE DATE: 03/20/2007

SERIAL NUMBER: 29296897  
PATENT NUMBER:  
TITLE: SPEAKER

FILING DATE: 10/30/2007  
ISSUE DATE:

SERIAL NUMBER: 29296898  
PATENT NUMBER:  
TITLE: AUDIO SYSTEM

FILING DATE: 10/30/2007  
ISSUE DATE:

SERIAL NUMBER: 60924612  
PATENT NUMBER:

FILING DATE: 05/22/2007  
ISSUE DATE:

TITLE: LOW-FREQUENCY IN-ROOM ACOUSTIC MAGNITUDE RESPONSE SMOOTHING VIA LATENT SUMMATION OF CORRECTION SIGNALS \*

SERIAL NUMBER: 09753724

FILING DATE: 01/04/2001

PATENT NUMBER:

ISSUE DATE:

TITLE: COMPACT NARROW BAND LOUDSPEAKER ENCLOSURE

SERIAL NUMBER: 09754241

FILING DATE: 01/05/2001

PATENT NUMBER:

ISSUE DATE:

TITLE: COMPACT NARROW BAND LOUDSPEAKER ENCLOSURE AND METHOD

SERIAL NUMBER: 10337347

FILING DATE: 01/07/2003

PATENT NUMBER: 7162049

ISSUE DATE: 01/09/2007

TITLE: PORTED LOUDSPEAKER SYSTEM AND METHOD WITH REDUCED AIR TURBULENCE, BIPOLAR RADIATION PATTERN AND NOVEL APPEARANCE

SERIAL NUMBER: 10660733 \*

FILING DATE: 09/12/2003

PATENT NUMBER: 7043034

ISSUE DATE: 05/09/2006

TITLE: LOUDSPEAKER WITH SINGLE OR DUAL CHANNEL INPUT SELECTOR AND LOCKOUT

SERIAL NUMBER: 10660727

FILING DATE: 09/12/2003

PATENT NUMBER: 7039212

ISSUE DATE: 05/02/2006

TITLE: WEATHER RESISTANT PORTING

SERIAL NUMBER: 10692692

FILING DATE: 10/27/2003

PATENT NUMBER: 6937737

ISSUE DATE: 08/30/2005

TITLE: MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS

SERIAL NUMBER: 11139611

FILING DATE: 05/31/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: COMPACT AUDIO REPRODUCTION SYSTEM WITH LARGE PERCEIVED ACOUSTIC SIZE AND IMAGE

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SERIAL NUMBER: 11147447 FILING DATE: 06/08/2005  
 PATENT NUMBER: 7231053 ISSUE DATE: 06/12/2007  
 TITLE: ENHANCED MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS

SERIAL NUMBER: 12153623 FILING DATE: 05/21/2008  
 PATENT NUMBER: ISSUE DATE:  
 TITLE: IN-ROOM ACOUSTIC MAGNITUDE RESPONSE SMOOTHING VIA SUMMATION OF CORRECTION SIGNALS \*

SERIAL NUMBER: 29114287 FILING DATE: 11/22/1999  
 PATENT NUMBER: D440559 ISSUE DATE: 04/17/2001  
 TITLE: PORTION OF A SPEAKER

SERIAL NUMBER: 29114318 FILING DATE: 11/22/1999  
 PATENT NUMBER: D448360 ISSUE DATE: 09/25/2001  
 TITLE: PORTION OF A SPEAKER

SERIAL NUMBER: 29145272 FILING DATE: 07/20/2001  
 PATENT NUMBER: D463407 ISSUE DATE: 09/24/2002  
 TITLE: SPEAKER

SERIAL NUMBER: 29237161 FILING DATE: 08/29/2005  
 PATENT NUMBER: D538767 ISSUE DATE: 03/20/2007  
 TITLE: AUDIO SYSTEM

SERIAL NUMBER: 10337347 FILING DATE: 01/07/2003  
 PATENT NUMBER: 7162049 ISSUE DATE: 01/09/2007  
 TITLE: PORTED LOUDSPEAKER SYSTEM AND METHOD WITH REDUCED AIR TURBULENCE, BIPOLAR RADIATION PATTERN AND NOVEL APPEARANCE

SERIAL NUMBER: 10660739 FILING DATE: 09/12/2003  
 PATENT NUMBER: 7043034 ISSUE DATE: 05/09/2006  
 TITLE: LOUDSPEAKER WITH SINGLE OR DUAL CHANNEL INPUT SELECTOR AND LOCKOUT

SERIAL NUMBER: 10660727 FILING DATE: 09/12/2003  
 PATENT NUMBER: 7039212 ISSUE DATE: 05/02/2006  
 TITLE: WEATHER RESISTANT PORTING

SERIAL NUMBER: 10692692 FILING DATE: 10/27/2003  
 PATENT NUMBER: 6937737 ISSUE DATE: 08/30/2005  
 TITLE: MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS

SERIAL NUMBER: 11147447 FILING DATE: 06/08/2005  
 PATENT NUMBER: 7231053 ISSUE DATE: 06/12/2007  
 TITLE: ENHANCED MULTI-CHANNEL AUDIO SURROUND SOUND FROM FRONT LOCATED LOUDSPEAKERS

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**PATENT ASSIGNMENT**

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**08/14/2009**  
**500937525**

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	MERGER
<b>EFFECTIVE DATE:</b>	11/21/2008

**CONVEYING PARTY DATA**

<b>Name</b>	<b>Execution Date</b>
BRITANNIA INVESTMENT CORPORATION	11/21/2008

**RECEIVING PARTY DATA**

<b>Name:</b>	POLK AUDIO, INC
<b>Street Address:</b>	5801 Metro Drive
<b>City:</b>	Baltimore
<b>State/Country:</b>	MARYLAND
<b>Postal Code:</b>	21202

**PROPERTY NUMBERS Total: 25**

Property Type	Number
Patent Number:	D440559
Patent Number:	D448380
Patent Number:	D483407
Patent Number:	D538787
Patent Number:	7182049 *
Patent Number:	7043034 *
Patent Number:	7039212 *
Patent Number:	8937737
Patent Number:	7231053
Application Number:	29114287
Application Number:	29114318
Application Number:	29145272
Application Number:	29237181 *
Application Number:	29288897

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Application Number:	29266898 *
Application Number:	80024812 *
Application Number:	09763724
Application Number:	09754241
Application Number:	10337347
Application Number:	10880733
Application Number:	10880727
Application Number:	10882882
Application Number:	11139811 *
Application Number:	11147447
Application Number:	12153823 *

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1865 POLK AUDIO

NAME OF SUBMITTER:

J. ANDREW MCKINNEY, JR.

Total Attachments: 4

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